

P23806.P01

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

	Attorney Docket No.	P23806	Total Pages	
	Inventor(s) or Application Identifier			
	Satoru HORITA			
	Title: FILTER PROCESS			

ADDRESS TO:

Commissioner for Patents  
Mail Stop Patent Application  
PO Box 1450  
Alexandria, VA 22313-1450

22151 U.S. PTO  
10/657173  
897867-02

APPLICATION ELEMENTS	ACCOMPANYING APPLICATION PARTS
<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (Submit an original, and a duplicate for fee processing)</p> <p>2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</p> <p>a. <input type="checkbox"/> Small Entity Statement(s)</p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages <u>29</u>] (preferred arrangement set forth below)</p> <ul style="list-style-type: none"> <li>- Descriptive title of the Invention</li> <li>- Cross References to Related Applications</li> <li>- Statement Regarding Fed sponsored R &amp; D</li> <li>- Reference to sequence listing, a table, or a computer program listing appendix</li> <li>- Background of the Invention</li> <li>- Brief Summary of the Invention</li> <li>- Brief Description of the Drawings (if filed)</li> <li>- Detailed Description</li> <li>- Claim(s)</li> <li>- Abstract of the Disclosure</li> </ul> <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets <u>9</u>]</p> <p>5. <input checked="" type="checkbox"/> Oath or Declaration [Total Pages <u>3</u>]</p> <p>a. <input checked="" type="checkbox"/> Newly executed (original or copy) <input type="checkbox"/> Unexecuted</p> <p>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 20 completed) [Note Box 6 below]</p> <p>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</p> <p>6. <input type="checkbox"/> Incorporation By Reference (useable if Box 5b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.</p> <p>7. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p> <p>8. <input type="checkbox"/> CD-Rom or CD-R in duplicate, large table or Computer Program (<i>Appendix</i>)</p> <p>9. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)</p> <p>a. <input type="checkbox"/> Computer Readable Form (CRF)</p> <p>b. Specification Sequence Listing on:</p> <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</li> <li>ii. <input type="checkbox"/> paper</li> </ul> <p>c. <input type="checkbox"/> Statements verifying identity of above copies</p> <p>10. <input checked="" type="checkbox"/> Assignment Papers (cover sheet &amp; document(s))</p> <p>11. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney (when there is an assignee)</p> <p>12. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>13. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations</p> <p>14. <input type="checkbox"/> Preliminary Amendment</p> <p>15. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)</p> <p>16. <input checked="" type="checkbox"/> Figure of Drawing to be published <u>2</u></p> <p>17. <input checked="" type="checkbox"/> Foreign priority claimed</p> <p>a. <input checked="" type="checkbox"/> Claim of Priority</p> <p>b. <input type="checkbox"/> Certified Copy of Priority Document(s)</p> <p>18. <input checked="" type="checkbox"/> Assignee: <u>PENTAX Corporation of Tokyo, Japan</u></p> <p>19. <input type="checkbox"/> Other: _____ _____ _____</p>	
<p>20. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:</p> <p><input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior Application No. / _____, filed _____. <i>Prior application information: Examiner: _____ Group Art Unit: _____</i></p> <p>21. <input type="checkbox"/> Amend the specification by inserting before the first line the sentence: This application is a _____ continuation-in-part, _____ continuation, _____ divisional, of Application No. _____ / _____, filed _____.</p>	

Address all future correspondence to **Customer No. 7055** at the present address of:

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7/9/03

Date

  
Signature  
Bruce H. Bernstein, Reg. No. 29,027  
Typed or Printed Name

33,329

P23806.P02

15915  
 09/03  
 U.S.  
 PTO

## FEE TRANSMITTAL

## Complete if Known

Application Number	Not Yet Assigned
Filing Date	Concurrently Herewith
First Named Inventor	Satoru HORITA
Group Art Unit	Unassigned
Examiner Name	Unassigned

TOTAL AMOUNT OF PAYMENT (\$790.00)

Attorney Docket Number P23806

## METHOD OF PAYMENT (check one)

## FEE CALCULATION (continued)

- The U.S. Patent and Trademark Office is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 19-0089  
 Deposit Account Name GREENBLUM & BERNSTEIN, P.L.C.  
 Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17, including any required extension of time fees in any concurrent or future reply requiring a petition for extension of time for its timely submission (37 CFR 1.136(a)(3))  Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.311(b)  Applicant Claims Small Entity Status See 37 CFR 1.27.

- Payment Enclosed:

Check  Credit Card  Money Order  Other

## FEE CALCULATION (fees effective 01/01/03)

## 1. FILING FEE

Large Entity Fee Code (\$)	Entity Fee	Small Entity Fee Code (\$)	Entity Fee	Fee Description	Fee Paid
1001	750	2001	375	Utility filing fee	<u>750.00</u>
1002	330	2002	165	Design filing fee	<u> </u>
1003	520	2003	260	Plant filing fee	<u> </u>
1004	750	2004	375	Reissue filing fee	<u> </u>
1005	160	2005	80	Provisional filing fee	<u> </u>

SUBTOTAL (1) (\$ 750.00)

## 2. CLAIMS

Total Claims	-20=	Extra	Fee from below	Fee Paid
Independent	<u>3</u>	<u>-3=</u>	<u>0</u>	<u>0</u>
Claims			<u>x 84</u>	<u>0</u>
Multiple Dependent Claims		<u>0</u>	<u>x 280</u>	<u>0</u>

Large Entity Fee Code (\$)	Entity Fee	Small Entity Fee Code (\$)	Entity Fee	Fee Description
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1202	18	2202	9	Claims in excess of 20
1201	84	2201	42	Independent claims in excess of 3
1203	280	2203	140	Multiple dependent claim
1204	84	2204	42	Reissue independent claims over original patent
1205	18	2205	9	Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 0.00)

3. ADDITIONAL FEES	Large Entity Fee Code (\$)	Entity Fee	Small Entity Fee Code (\$)	Entity Fee	Fee Description	Fee Paid
	1051	130	2051	65	Surcharge - late filing fee or oath	<u> </u>
	1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	<u> </u>
	1053	130	1053	130	Non-English specification	<u> </u>
	1812	2,520*	1812	2,520	For filing a request for reexamination	<u> </u>
	1804	920*	1804	920*	Requesting publication of SIR	<u> </u>
	1805	1,840*	1805	1,840*	Prior to Examiner action	<u> </u>
	1251	110	2251	55	Requesting publication of SIR after Examiner action	<u> </u>
	1252	410	2252	205	Extension for response within 1st month	<u> </u>
	1253	930	2253	465	Extension for response within 2nd month	<u> </u>
	1254	1,450	2254	725	Extension for response within 3rd month	<u> </u>
	1255	1,970	2255	985	Extension for response within 4th month	<u> </u>
	1401	320	2401	160	Extension for response within 5th month	<u> </u>
	1402	320	2402	160	Notice of Appeal	<u> </u>
	1403	280	2403	140	Filing a brief in support of an appeal	<u> </u>
	1451	1,510	1451	1,510	Request for oral hearing	<u> </u>
	1452	110	2452	55	Petition to institute a public use proceeding	<u> </u>
	1453	1,300	2453	650	Petition to revive unavoidably abandoned application	<u> </u>
	1501	1,300	2501	650	Petition to revive unintentionally abandoned application	<u> </u>
	1502	470	2502	235	Utility issue fee (or reissue)	<u> </u>
	1503	630	2503	315	Design issue fee	<u> </u>
	1460	130	1460	130	Plant issue fee	<u> </u>
	1807	50	1807	50	Petitions to the Commissioner	<u> </u>
	1806	180	1806	180	Petitions related to provisional applications	<u> </u>
	8021	40	8021	40	Submission of IDS	<u> </u>
	1809	750	2809	375	Recording each patent assignment per property (times number of properties)	<u>40.00</u>
	1810	750	2810	375	Filing a submission after final rejection (37 CFR 1.129(a))	<u> </u>
	1801	750	2801	375	For each additional invention to be examined (37 CFR 1.129(b))	<u> </u>
	1802	900	1802	900	Request for Continued Examination (RCE)	<u> </u>
					Request for expedited examination of a design application	<u> </u>
				Other fee (specify)	<u> </u>	<u> </u>
				Other fee (specify)	<u> </u>	<u> </u>

SUBTOTAL (3) (\$ 40.00)

\*Reduced by Basic Filing Fee paid

## SUBMITTED BY

## Complete (if applicable)

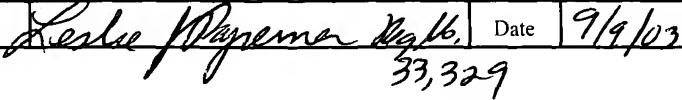
Typed or Printed Name

Bruce H. Bernstein

Reg. Number

29,027

Signature



Date 9/9/03

Deposit Account User ID